

**CERTIFICATE OF MAILING**

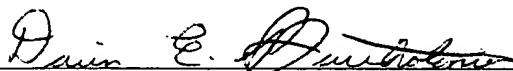
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, Washington, D.C. 20231, on

December 11, 2000

Date of Deposit

Darin E. Bartholomew

Name of Applicant, Assignee or  
Registered Representative



Signature

12/11/00

Date of Signature



**RECEIVED**

DEC 15 2000

Technology Center 2600

Our Case No.: 10508/18

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Huan -Yu Su and Yang Gao

Serial No.: 09/663,002

Filing Date: September 15, 2000

For: SYSTEM FOR SPEECH  
ENCODING HAVING AN  
ADAPTIVE ENCODING  
ARRANGEMENT

Examiner: To Be Assigned

Group Art Unit No.: To Be Assigned

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and

made of record. Copies of the listed documents required by 37 C.F.R. § 1.98 are enclosed for the convenience of the Examiner.

In accordance with Rule 37 C.F.R. § 1.98(d) only copies of documents not previously cited and submitted to the Patent and Trademark Office in the prior application Serial No. 09/154,660 are enclosed. Please refer to U.S. application serial number 09/154,660 for copies of references that are not enclosed herein. This application is a continuation-in-part application of U.S. Serial No. 09/154,660, filed September 18, 1998 and is relied upon for an earlier filing dated under 35 U.S.C. § 120.

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in  
the present case.

Respectfully submitted,

  
Darin E. Bartholomew  
Darin E. Bartholomew  
Registration No. 36,444  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610  
(312) 321-4200